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Art Unit: 2821

From : Winston Hsu, Registration No. 41,526

Serial No.: 10/707,275

Attorney Docket No.: ADTP0112USA

Subject: Information Disclosure Statement (IDS)

Total Pages: 35 pages (including cover page)

Winston Hsu   Date: AUG 2 8 2006

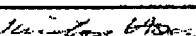ADTP0112USA0 DI 1

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TRANSMITTAL **FORM** *(to be used for all correspondence after initial filing)*	Application Number	10/707,275
	Filing Date	12/02/2003
	First Named Inventor	Hui-Kai Chou
	Art Unit	2821
	Examiner Name	TRAN, THUY V
Total Number of Pages in This Submission 34	Attorney Docket Number	ADTP0112USA

ENCLOSURES   *(Check all that apply)*

☑ Fee Transmittal Form	☐ Drawing(s)	☐ After Allowance Communication to TC
☐ Fee Attached	☐ Licensing-related Papers	☐ Appeal Communication to Board of Appeals and Interferences
☐ Amendment/Reply	☐ Petition	☐ Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
☐ After Final	☐ Petition to Convert to a Provisional Application	☐ Proprietary Information
☐ Affidavits/declaration(s)	☐ Power of Attorney, Revocation Change of Correspondence Address	☐ Status Letter
☐ Extension of Time Request	☐ Terminal Disclaimer	☐ Other Enclosure(s) (please Identify below):
☐ Express Abandonment Request	☐ Request for Refund	
☑ Information Disclosure Statement	☐ CD, Number of CD(s) _____	
	☐ Landscape Table on CD	
☐ Certified Copy of Priority Document(s)	Remarks	
☐ Reply to Missing Parts/ Incomplete Application ☐ Reply to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	North America Intellectual Property Corporation		
Signature	*[signature]*		
Printed name	Winston Hsu		
Date	AUG 2 8 2006	Reg. No.	41,526

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:
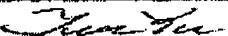
Signature	*[signature]*	
Typed or printed name	Jun Yu	Date AUG 2 8 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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	Complete If Known	
Effective on 12/08/2004	Application Number	10/707,275
Fees pursuant to the Consolidated Appropriations Act, 2005 (H R 4818)	Filing Date	12/02/2003
FEE TRANSMITTAL	First Named Inventor	Hui-Kai Chou
For FY 2005	Examiner Name	TRAN, THUY V
☐ Applicant claims small entity status. See 37 CFR 1.27	Art Unit	2821
TOTAL AMOUNT OF PAYMENT ($) 0.00	Attorney Docket No.	ADTP0112USA

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify):_____

☑ Deposit Account   Deposit Account Number: **50-3105**   Deposit Account Name: North America Intellectual Property Corporation

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

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FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

	FILING FEES		SEARCH FEES		EXAMINATION FEES		
Application Type	Fee ($)	Small Entity Fee ($)	Fee ($)	Small Entity Fee ($)	Fee ($)	Small Entity Fee ($)	Fees Paid ($)
Utility	300	150	500	250	200	100	_____
Design	200	100	100	50	130	65	_____
Plant	200	100	300	150	160	80	_____
Reissue	300	150	500	250	600	300	_____
Provisional	200	100	0	0	0	0	_____

2. EXCESS CLAIM FEES

Fee Description	Fee ($)	Small Entity Fee ($)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

Total Claims	Extra Claims	Fee ($)	Fee Paid ($)	Multiple Dependent Claims	
				Fee ($)	Fee Paid ($)
_____ - 20 or HP = _____ x _____ = _____				_____	_____

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims	Extra Claims	Fee ($)	Fee Paid ($)
_____ - 3 or HP = _____ x _____ = _____			

HP = highest number of independent claims paid for, if greater than 3

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is $250 ($125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee ($)	Fee Paid ($)
_____ - 100 = _____ / 50 _____ (round up to a whole number)		x _____	= _____	

4. OTHER FEE(S)

	Fees Paid ($)
Non-English Specification, $130 fee (no small entity discount)	_____
Other (e.g., late filing surcharge): submission of Information Disclosure Statement	0.00

SUBMITTED BY

Signature	*(signature)*	Registration No. (Attorney/Agent) 41,526	Telephone 3027291562
Name (Print/Type)	Winston Hsu		Date AUG 2 8 2006

This collection of information is required by 37 CFR 1.136 The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U S C. 122 and 37 CFR 1.14 This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U S Patent and Trademark Office, U S Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450 DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
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<u>**PATENT**</u>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Hui-Kai Chou

Filing Date: 12/02/2003

Art Unit: 2821

Examiner: TRAN, THUY V

Serial No.:   10/707,275

Docket No.:   ADTP0112USA

Confirmation No. 1274

Title: BACKLIGHT SOURCE

To: Commissioner for Patents

       P.O. BOX 1450

       Alexandria, VA 22313-1450

Subject: Information disclosure statement under 37 CFR §1.56

Dear Sir:

This is an Information Disclosure Statement in accordance with the duty to disclose information material to patentability under 37 CFR §1.56. The applicant wishes to make of record the document(s) listed on the accompanying form PTO/SB/08.

All items of information contained in this IDS were first

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cited in an Office communication mailed on 06/09/2006 for the counterpart PROC patent application number 031486746, which are no more than three months prior to the filing of the information disclosure statement. Since this IDS is filed after the mailing date of the first Office action but before notice of allowance, consideration of the information disclosure statement is hereby requested according to 37 CFR §1.97(c).

According to the requirement set forth in 37 CFR §1.98 and M.P.E.P 609, a copy of each cited reference (JP 2003-036703, JP 2002-244118, JP H4-033202) and its English language abstract is included to fulfill the concise explanation requirement.

It is respectfully requested that the examiner can consider the document(s) listed on the accompanying form PTO/SB/08 and that it be made of record in the application. The applicants sincerely hope that the examiner initials the cited reference(s) on the form and that a copy of the initialed form be sent to the applicants with the next communication from the examiner.

2/3

Respectfully submitted,

[signature: Winston Hsu]   Date: __AUG 2 8 2006__

Winston Hsu, Patent Agent No. 41,526
P.O. BOX 506, Merrifield, VA 22116, U.S.A.
Voice Mail: 302-729-1562
Facsimile: 806-498-6673
e-mail : winstonhsu@naipo.com

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Substitute for form 1449A/PTO			**Complete if Known**	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT *(Use as many sheets as necessary)*			Application Number	10/707,275
			Filing Date	12/02/2003
			First Named Inventor	Hui-Kai Chou
			Art Unit	2821
			Examiner Name	TRAN, THUY V
Sheet	1	of 1	Attorney Docket Number	ADTP0112USA

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.1	Document Number Number-Kind Code2 (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-			
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.1	Foreign Patent Document Country Code3 -Number4 -Kind Code5 (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T6
	1	JP 2003-036703	02-07-2003	HARISON TOSHIBA LIGHTING CORP		✓
	2	JP 2002-244118	08-28-2002	HITACHI LTD; HITACHI ELECTRONIC DEVICES CO LTD		✓
	3	JP H04-033202	02-04-1992	TOSHIBA LIGHTING & TECHNOL CORP		✓

Examiner Signature		Date Considered	